

## COMPLIANCE APPROVAL FOR DISSERTATION AND THESIS PROPOSALS

### HUMANS

#### *Compliance Approval and Student Memo needed (IRB)*

If a dissertation or thesis involves human subjects (i.e., human participants or identifiable/coded human subject data), an IRB memo indicating the project has been approved is to be attached as part of the student's dissertation or thesis proposal submission.

The name of the student submitting the thesis or dissertation also needs to be on an IRB memo as part of the submission package. This can be on the original IRB memo or on an amendment to the approved IRB project indicating: that the student's proposal was included in the IRB protocol as part of a student project; or as part of a faculty research project, where the student researcher will be conducting a sub-study from a main faculty research project.

Submission to ORI of the student's 5-page proposal as part of the IRB protocol is to be done prior to submission to UGS and before any work or research with human subjects has started.

Memos are to be submitted to UGS prior to expiration dates.

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If a dissertation or thesis involves human participants or subjects, and it is unclear (e.g. oral history, certain types of interviews, *self as subject*) whether the student needs to have IRB approval, contact the Office of Research Integrity (ORI) [ori@fiu.edu](mailto:ori@fiu.edu) to discuss or [submit a Human Subject Research Determination Form](#). This is to be done prior to submission to UGS and before any work or research with human participants has started.

If the student has a compliance approval memo from an institution other than FIU, the student is to contact ORI ([ori@fiu.edu](mailto:ori@fiu.edu)) prior to submitting that memo with the proposal.

### ANIMALS

#### *Compliance Approval and Student Memo needed (IACUC)*

If a dissertation or thesis involves animals (i.e., vertebrates and/or invertebrates, animal biospecimens/carcass), an FIU IACUC memo indicating the project has been approved is to be attached as part of the student's dissertation or thesis proposal submission.

The name of the student submitting the thesis or dissertation also needs to be on an IACUC memo as part of the submission package. This can be on the original IACUC memo or on an amendment to the approved IRB project: indicating that the student's proposal was included in the IACUC protocol as part of a student project; or as part of a faculty research project, where the student researcher will be conducting a sub-study from a main faculty research project.

Submission of the student's 5-page proposal as part of the IACUC protocol is to be done prior to submission to UGS and before any work or research with animals has started.

Memos are to be submitted to UGS prior to expiration dates.

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If a dissertation or thesis involves animals or animal biospecimens/carcasses, and it is unclear (e.g. observational field study, fixed tissue) as to whether the student needs to have IACUC approval, contact the Office of Research Integrity (ORI) [ori@fiu.edu](mailto:ori@fiu.edu). This is to be done prior to submission to UGS and before any work or research with animals or animal biospecimens/carcass has started.

If the student has a compliance approval memo from an institution other than FIU, the student is to contact ORI ([ori@fiu.edu](mailto:ori@fiu.edu)) prior to submitting that memo with the proposal.

## **BIOSAFETY**

### *Compliance Approval and Student Memo needed (IBC)*

If a dissertation or thesis involves Recombinant or Synthetic Nucleic Acid Molecules or cells, organisms, and viruses containing such molecules (i.e. CRISPR-cas9, plasmid, AAV, transgenic animal), an IBC memo indicating the project has been approved is to be attached as part of the student's dissertation or thesis proposal submission.

The name of the student submitting the thesis or dissertation also needs to be on an IBC memo as part of the submission package. This can be on the original IBC memo or on an amendment to the approved IRB project: indicating that the student's proposal was included in the IACUC protocol as part of a student project; or as part of a faculty research project, where the student researcher will be conducting a sub-study from a main faculty research project.

Submission of the student's 5-page proposal as part of the IBC protocol is to be done prior to submission to UGS and before any work or research with Recombinant or Synthetic Nucleic Acid Molecules has started.

Memos are to be submitted to UGS prior to expiration dates.

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If a dissertation or thesis involves:

- (i) molecules that a) are constructed by joining nucleic acid molecules and b) can replicate in a living cell, i.e., recombinant nucleic acids;

- (ii) nucleic acid molecules that are chemically or by other means synthesized or amplified, including those that are chemically or otherwise modified but can base pair with naturally occurring nucleic acid molecules, i.e., synthetic nucleic acids, or
- (iii) molecules that result from the replication of those described above

and it is unclear whether the student needs to have IBC approval, contact the Office of Research Integrity (ORI) [ori@fiu.edu](mailto:ori@fiu.edu). This is to be done prior to submission to UGS and before any work or research with Recombinant or Synthetic Nucleic Acid Molecules has started.

If the student has a compliance approval memo from an institution other than FIU, the student is to contact ORI ([ori@fiu.edu](mailto:ori@fiu.edu)) prior to submitting that memo with the proposal.

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